

#### Application No. 10/750,491 FORM TRANSMI Filing Date December 31, 2003 (to be used for all correspondence after initial filing) First Named Inventor Keith D. Jones Art Unit not yet assigned **Examiner Name** not yet assigned Total Number of Pages in This Submission 42P17767 Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert a Provisional Application **Proprietary Information** Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) **Terminal Disclaimer** (please identify below): **Express Abandonment Request** Request for Refund return postcard Information Disclosure Statement copy of 1 cited ref. PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Gregory D. Caldwell, Reg. No. 39,926 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature March 26, 2004 Date **CERTIFICATE OF MAILING/TRANSMISSION** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA

Date

March 26, 2004

Based on PTO/SB/21 (02-04) as modified by Blakely Slokoff, Taylor & Zafman (wr) 02/10/2004. SEND TO: Commissioner for Patents, P.O. Box 1466, Alexandria, VA 22313-1450

Tammy Simpson

22313-1450.

Typed or printed name



### FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$) 0.00

Complete if Known				
Application Number	10/750,491	-		
Filing Date	December 31, 2003	-		
First Named Inventor	Keith D. Jones	-		
Examiner Name	not yet assigned			
Art Unit				
Attorney Docket No.	not yet 42P17767			

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION	ON (continu	ed)	
☐ Check ☐ Credit card ☐ Money ☐ Other ☒ None	3. AI	ODITIO	NAL	FEES	3			
Deposit Account	Large	Entity	Sma	II Entity	<u>,                                     </u>			
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Account Number	1051	130	2051	65	Surcharge - late filing	·	l	reerand
Deposit	1052	50	2052	25	Surcharge - late provi			
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	cover sheet.  Non-English specifica	tion		
The Commissioner is authorized to ( check all that apply)	1812	2,520	1812	2.520	For filing a request for		nation	
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920 *	<ul> <li>Requesting publication</li> </ul>	n of SIR prior to		
Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840 *	Requesting publicatio	n of SIR after		
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to the above-identified deposit account	1251	110	2251	55	Extension for reply wit			
FEE CALCULATION	1252	420 950	2252	210 475	Extension for reply wit Extension for reply wit			
1. BASIC FILING FEE Large Entity   Small Entity	1253 1254	1,480	2253	740	Extension for reply wit			
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Code (\$)	1404	330	2401	165	Notice of Appeal			
1001 770   2001 385 Utility filing fee	1402	330	2402	165	Filing a brief in support	rt of an appeal		
1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral heari	ing		
1004 770 2004 385 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a p		ling	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - una			
SUBTOTAL (1) (\$)	1453	1,330	2453	665 665	Petition to revive - uni Utility issue fee (or rei			
2. EXTRA CLAIM FEES <sub>Extra</sub> Fee from	1501 1502	480	2501 2502	240	Design issue fee	ssue)		
Claims below Fee Paid	1503	640	2503	320	Plant issue fee			
Total Claims	1460	130	2460	130	Petitions to the Comm	nissioner		
Independent Same X = X	1807	50	1807	50	Processing fee under	37 CFR 1.17(q)		
Multiple Dependent	1806	180	1806	180	Submission of Informa	ation Disclosure S	tmt	
Large Entity Small Entity	8021	40	8021	40	Recording each pater property (times number			
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	1809	770	1809	385	Filing a submission aff	ter final rejection		
1202 18 2202 9 Claims in excess of 20	6 6				(37 CFR § 1.129(a))			
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invexamined (37 CFR §			
1203 290 2203 145 Multiple Dependent claim, if not paid 1204 86 2204 43 **Reissue independent claims over original	1801	770	2801	385	Request for Continued	d Examination (RC	E)	
patent	1802	900	1802	900	Request for expedited			
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**or number previously paid, if greater, For Reissues, see below	* Reduce	d by Basic	: Filing F	ee Paid	s	SUBTOTAL (3)	(\$)	0.00
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SUBMITTED BY	, R	gistratio	on No.	Т.	20.006		lete (if application)	
Name (Print/Type) Gregory D. Caldwell		orney/Age	ent)	3	39,926	Telephone	(503) 68	4-0200
Signature // //	IV					Date	03/2	6/04

Docket No.: 42P17767

#### É UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

KEITH D. JONES

Application No.: 10/750,491

Filed: December 31, 2003

For: IMPROVED MATERIALS FOR

**ELECTRONIC DEVICES** 

Art Group: not yet assigned

Examiner: not yet assigned

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted within three (3) months of filing of the application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1-

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF TAYLOR & ZAFMAN LLP

Date: March 26, 2004

Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

03-26-04

Tammy Simpson / Date

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ubstitute for form 1449A/PTO				Complete if Known				
NFORMATION DISCLOSURE STATEMENT BY APPLICANT			LIDE	Application Number	10/750,491			
			UKE	Filing Date	December 31, 2003			
			ANT	First Named Inventor	Keith D. Jones			
(use as many sheets as necessary)		Art Unit	not yet assigned					
				Examiner Name	not yet assigned			
Sheet	1	of	2	Attorney Docket Number	42P17767			

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.¹	Document Number  Number - Kind Code² (if known)	Publication Date Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US-6,673,279	01-06-2004	Gardner			
	2	US-2002/0105093 A1	08-08-2002	Papathomas			
	3	US-2003/0215661 A1	11-20-2003	Lo et al.			
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	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	1		Pages, Columns, Lines,			
Initials*	No.'	Country Code³ - Number⁴ - Kind Codent known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
				41.40.44				
	<u> </u>							

Examiner	Date	
Signature	Considere	d

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>4</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>4</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

# Substitute for form 1449A/PTO

## THEORMATION DISCLOSURE

10/750,491 December 31, 2003 Filing Date First Named Inventor STATEMENT BY APPLICANT Keith D. Jones Art Unit not\_yet\_assigned\_ Examiner Name not\_yet\_assigned 2 **Sheet** 2 of Attorney Docket Number 42P17767

Application Number

Complete if Known

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	4	J. Qu et al., "Effective Elastic Modulus of Underfill Material for Flip-Chip Applications", The Woodruff School of Mechanical Engineering, School of Material Science and Engineering, Packaging Research Center, Georgia Institute of Technology, 3 pages				

Examiner 1	Date	
Signature	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.